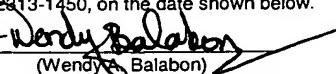




IFW  
S

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: September 10, 2004 Signature:

  
(Wendy Balabon)

Docket No.: 65306-0100(2522)

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Daryl R. Kipke et al.

Application No.: 10/797,304

Confirmation No.: 4415

Filed: March 10, 2004

Art Unit: 3736

For: COMPOSITIONS AND METHODS FOR USE  
OF ALGINATE DURAL SEALANTS

Examiner: Not Yet Assigned

### REQUEST FOR CORRECTED FILING RECEIPT

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The updated Filing Receipt received by Applicant, a copy of which is attached hereto, has the following PTO errors:

1. **Applicant(s) name:** The *middle initial* for Daryl Kipke, should read Daryl R. Kipke. (see attached Declaration as submitted with Response to Missing Parts on 7/19/04).
2. **Domestic Priority data:** The domestic priority data was omitted. Domestic priority data is as follows: This application claims benefit of 60/453,305, filed on 03/10/2003. (see attached Declaration as submitted with Response to Missing Parts on 7/19/04 for priority information)

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 18-0013, under Order No. 65306-0100 from which the undersigned is authorized to draw.

Dated: September 10, 2004

Respectfully submitted,

By \_\_\_\_\_

James F. Kamp

Registration No.: 41,882

RADER, FISHMAN & GRAUER PLLC

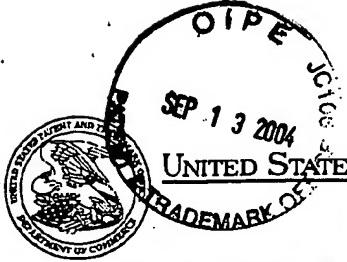
39533 Woodward Avenue

Suite 140

Bloomfield Hills, Michigan 48304

(248) 594-0656

Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/797,304 ✓	03/10/2004 ✓	3736	450	65306-0100 (2522) ✓	7	16	3

CONFIRMATION NO. 4415✓

10291  
RADER, FISHMAN & GRAUER PLLC  
39533 WOODWARD AVENUE  
SUITE 140  
BLOOMFIELD HILLS, MI 48304-0610

UPDATED FILING RECEIPT



\*OC000000013554909\*

Date Mailed: 08/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

R  
Daryl A. Kipke, Dexter, MI; ✓  
Timothy A. Becker, Ann Arbor, MI;  
Justin C. Williams, Fitchburg, WI;  
Rio J. Vetter, Ann Arbor, MI;

Assignment For Published Patent Application

The Regents of the University of Michigan;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 05/25/2004

Projected Publication Date: 09/15/2005

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\* ✓

**Title**

Compositions and methods for use of alginate dural sealants

**Preliminary Class**

604

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

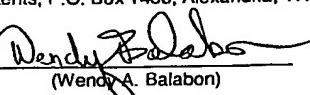
The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:  
MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450, on the date shown below.

Dated: July 19, 2004

Signature:   
(Wendy A. Balabon)

Docket No.: 65306-0100(2522)  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Daryl A. Kipke et al.

Application No.: 10/797,304

Confirmation No.: 4415

Filed: March 10, 2004

Art Unit: N/A

For: COMPOSITIONS AND METHODS FOR USE  
OF ALGINATE DURAL SEALANTS

Examiner: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

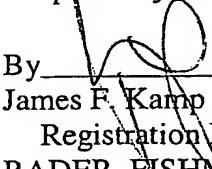
Dear Sir:

In response to the Notice to File Missing Parts of Application, - Filing Date Granted mailed May 26, 2004, Applicant respectfully submits a Declaration and Part 2 Copy of Notice.

Please charge our Deposit Account No. 18-0013 in the amount of \$65.00 covering the fee set forth in 37 CFR 1.16(e). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-0013, under Order No. 65306-0100. A duplicate copy of this paper is enclosed.

Dated: July 19, 2004

Respectfully submitted,

By   
James F. Kamp

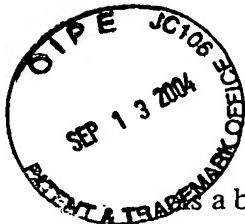
Registration No.: 41,882  
RADER, FISHMAN & GRAUER PLLC  
39533 Woodward Avenue  
Suite 140  
Bloomfield Hills, Michigan 48304  
(248) 594-0656  
Attorney for Applicant

**Declaration and POA for Patent Application**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: July 19, 2004

Signature:  (Wendy A. Balabon)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**COMPOSITIONS AND METHODS FOR USE OF ALGINATE DURAL SEALANTS**

the specification of which was filed on March 10, 2004 as Application No. 10/797,304.

In the event that the filing date and/or Application No. are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request my attorneys/agent(s) at **Rader, Fishman & Grauer PLLC**, 39533 Woodward Avenue, Suite 140, Bloomfield Hills, Michigan 48304, to insert above the filing date and/or Application No. of said application.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

**FOREIGN PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

- no such foreign applications have been filed  
 such foreign application have been filed as follows:

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
			___ Yes ___ No ___
			___ Yes ___ No ___
			___ Yes ___ No ___

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

no such U.S. provisional applications have been filed.

such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
60/453,305	March 10, 2003	___ Yes ___ No ___ x
		___ Yes ___ No ___
		___ Yes ___ No ___

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

- no such U.S./PCT applications have been filed.
- such U.S./PCT application have been filed as follows:

Application Number	Date of Filing	Status (Patented/Pending/Abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith.

APPOINTED PRACTITIONER(S)	REGISTRATION NUMBER(S)
James F. Kamp	41,882

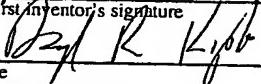
I hereby appoint the practitioner(s) associated with **Customer Number 10291** to prosecute this application and transact all business in the Patent and Trademark Office connected herewith.

Please mail all correspondence to James F. Kamp, whose address is:

**Rader, Fishman & Grauer PLLC**  
 39533 Woodward Avenue  
 Suite 140  
 Bloomfield Hills, Michigan 48304

Please direct telephone calls to: James F. Kamp at (248) 594-0656.

Please direct facsimiles to: (248) 594-0610

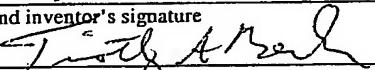
Full name of sole or first inventor <b>Daryl A. Kipke</b>	
Sole or first inventor's signature 	Date 6/16/04
Residence <b>Dexter, Michigan</b>	
Citizenship <b>US</b>	
Mailing Address <b>5041 Dexter-Pinckney Road Dexter, Michigan 48130</b>	

Full name of second inventor, if any <b>Timothy A. Becker</b>	
Second inventor's signature	Date
Residence <b>Ann Arbor, Michigan</b>	
Citizenship <b>US</b>	
Mailing Address <b>5646 Versailles Ave. Ann Arbor, Michigan 48103</b>	

Full name of third inventor, if any <b>Justin C. Williams</b>	
Third inventor's signature	Date
Residence <b>Fitchburg, Wisconsin</b>	
Citizenship <b>US</b>	
Mailing Address <b>4401 Crescent Dr. #2 Fitchburg, Wisconsin 53711</b>	

Full name of fourth inventor, if any <b>Rio J. Vetter</b>	
Fourth inventor's signature	Date
Residence <b>Ann Arbor, Michigan</b>	
Citizenship <b>US</b>	
Mailing Address <b>3266 LaSalle Drive Ann Arbor, Michigan 48108</b>	

Full name of sole or first inventor <b>Daryl A. Kipke</b>	
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Citizenship <b>US</b>	
Mailing Address  <b>5041 Dexter-Pinckney Road Dexter, Michigan 48130</b>	

Full name of second inventor, if any <b>Timothy A. Becker</b>	
Second inventor's signature 	Date <b>6/15/04</b>
Residence <b>Ann Arbor, Michigan</b>	
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Fourth inventor's signature	Date
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Second inventor's signature	Date
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Third inventor's signature 	Date <i>6-15-04</i>
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Full name of fourth inventor, if any <b>Rio J. Vetter</b>	
Fourth inventor's signature	Date
Residence <b>Ann Arbor, Michigan</b>	
Citizenship <b>US</b>	
Mailing Address  <b>3266 LaSalle Drive Ann Arbor, Michigan 48108</b>	

Full name of sole or first inventor <b>Daryl A. Kipke</b>	
Sole or first inventor's signature	Date
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Citizenship <b>US</b>	
Mailing Address  <b>5041 Dexter-Pinckney Road Dexter, Michigan 48130</b>	

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Second inventor's signature	Date
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Citizenship <b>US</b>	
Mailing Address  <b>5646 Versailles Ave. Ann Arbor, Michigan 48103</b>	

Full name of third inventor, if any <b>Justin C. Williams</b>	
Third inventor's signature	Date
Residence <b>Fitchburg, Wisconsin</b>	
Citizenship <b>US</b>	
Mailing Address  <b>4401 Crescent Dr. #2 Fitchburg, Wisconsin 53711</b>	

Full name of fourth inventor, if any <b>Rio J. Vetter</b>	
Fourth inventor's signature <i>Rio J. Vetter</i>	Date <i>6/15/04</i>
Residence <b>Ann Arbor, Michigan</b>	
Citizenship <b>US</b>	
Mailing Address  <b>3266 LaSalle Drive Ann Arbor, Michigan 48108</b>	